





## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.:

Robert Ghislain Stocq

Filed:

10/583,424 June 19, 2006

For:

METHOD OF FORMING A THREE-

DIMENTIONAL MICROSTRUCTURE ON A

SURFACE, USES THEREOF, AND MICROSTRUCTURED PRODUCTS SO

**OBTAINED** 

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed for Commissioner for Patents, P.O. Box 1450 Alexandria, Virginia 22313-1450, on February 26, 2007.

Tammy J. Cunta J

February 26, 2007

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

## INFORMATION DISCLOSURE STATEMENT CITATION UNDER 37 C.F.R. § 1.97

Sir:

Attached is a list of documents on Form PTO-1449, together with a copy of each identified document. It is requested that these documents be considered by the Examiner and officially made of record in accordance with the provisions of 37 C.F.R. §1.97 and Section 609 of the MPEP.

Respectfully submitted,

Tom J. Hall, Ph.D. Registration No. 51,767

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PTO/SB/08A (09-06)

Approved for use through 03/31/2007. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 2

Sheet 1

Complete if Known				
Application Number	10/583,424			
Filing Date	June 19, 2006			
First Named Inventor	Robert Ghislain Stocq			
Art Unit	Unknown			
Examiner Name	Unknown			
Attorney Docket Number	20724-PCT-US			

	U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2 (f known)</sup>	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
		<sup>US-</sup> 4,556,595	12/03/1985	Ochi			
		<sup>US-</sup> 4,587,152	05/06/1986	Gleichenhagen, et al.			
		<sup>US-</sup> 5,192,612	03/09/1993	Otter, et al.			
		<sup>US-</sup> 5,296,277	03/22/1994	Wilson, et al.			
		<sup>US-</sup> 5,346,766	09/13/1994	Otter, et al.			
		<sup>US-</sup> 5,571,617	11/05/1996	Cooprider, et al.			
		<sup>US-</sup> 5,650,215	07/22/1997	Mazurek, et al.			
		<sup>US-</sup> 5,662,758	09/02/1997	Hamilton, et al.			
		<sup>US-</sup> 5,795,636	08/18/1998	Keller, et al.			
		<sup>US-</sup> 5,871,607	02/16/1999	Hamilton, et al.			
	1	<sup>US-</sup> 6,123,890	09/26/2000	Mazurek, et al.			
		<sup>US-</sup> 6,194,062	02/27/2001	Hamilton, et al.			
		<sup>US-</sup> 6,197,397	03/06/2001	Sher, et al.			
		<sup>US-</sup> 6,315,851	11/13/2001	Mazurek, et al.			
-		US- 6,440,880	08/27/2002	Mazurek, et al.			
-		<sup>US-</sup> 6,489,022	12/03/2002	Hamilton, et al.			
		<sup>US-</sup> 6,524,675	02/25/2003	Mikami, et al.			
		<sup>US-</sup> 6,541,098	04/01/2003	Venkatasanthanam, et al.			
		<sup>US-</sup> 6,602,580	08/05/2003	Hamilton, et al.			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	6		
		Country Code <sup>3</sup> "Number <sup>4</sup> "Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°		
		WO 03/099953 A1	12/04/2003	Yang, et al.				

Examiner Signature	Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449/PTO	Col	Complete if Known		
	Application Number 10/583,424			
INFORMATION DISCLOSURE	Filing Date	June 19, 2006		
	First Named Inventor	Robert Ghislain Stocq		
STATEMENT BY APPLICANT	Art Unit	Unknown		
(Use as many sheets as necessary)	Examiner Name	Unknown		
Sheet 2 of 2	Attorney Docket Number	20724-PCT-US		

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		<sup>US-</sup> 6,692,807	02/17/2004	Bries, et al.			
		<sup>US-</sup> 6,838,150	01/04/2005	Mazurek, et al.			
		<sup>US-</sup> RE37,612	03/26/2002	Gleichenhagen, et al.			
		<sup>US-</sup> 2004/0101647 A1	05/27/2004	Pitzen			
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		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	IVIIVI-DD-1111		Of the order of the order	Ė			

Examiner Signature	Date Considered	

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